

Message Text

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ACTION L-02

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DEPT FOR L/T-ROVINE FROM SMALL

E.O. 11652: N/A

TAGS: CSCE, PFOR, XG

SUBJECT: CSCE: DECLARATION OF PRINCIPLES-INVALIDITY OF TREATIES

1. SOLE REMAINING ISSUE OF SIGNIFICANCE IN FIRST READING OF TENTH PRINCIPLE ASIDE FROM QRR'S IS WHETHER AND HOW TO QUALIFY REFERENCE TO OBLIGATIONS ARISING FROM TREATIES OR OTHER INTERNATIONAL AGREEMENTS, TO CONVEY NOTION THAT SOME ILLEGAL AGREEMENTS CANNOT OR NEED NOT BE FULFILLED. USSR (AND TURKS) WANT NO QUALIFICATION. FRIENDLY RELATIONS FORMULA IS STRONGEST REFERENCE TO INVALIDITY OF INTERNATIONAL AGREEMENTS IN PLAY AMONG PRO-PONENTS OF A QUALIFICATION. WE BELIEVE THAT ANY OF THE LIKELY OUTCOMES SHOULD BE ACCEPTABLE FOR US, BUT SINCE NOT ALL THESE OUTCOMES ARE COVERED BY DEL'S TECHNICAL GUIDANCE, FOLLOWING INFO IS PROVIDED.

2. CURRENT NEGOTIATING TEXT OF FIRST PARAGRAPH OF TENTH PRINCIPLE READS AS FOLLOWS (NUMBERS IN PARENTHESES REFER TO FOOTNOTED ALTERNATIVES FAVORED BY ONE OR ANOTHER DELEGATION; DOUBLE PARENTHESES REPRESENT SQUARE BRACKETS);

BEGIN TEXT: THE PARTICIPATING STATES WILL FULFILL

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IN GOOD FAITH THEIR OBLIGATIONS UNDER INTERNATIONAL

LAW (1), BOTH THOSE OBLIGATIONS ARISING FROM THE GENERALLY
RECOGNIZED PRINCIPLES AND RULES OF INTERNATIONAL LAW AND
THOSE OBLIGATIONS ARISING FROM TREATIES OR OTHER (2)
INTERNATIONAL AGREEMENTS (3) (,) TO WHICH THEY ARE
PARTIES (,) IN CONFORMITY WITH/(4) UNDER ((THE
GENERALLY RECOGNIZED PRINCIPLES AND RULES OF INTERNATIONAL
LAW/THE CHARTER OF THE UNITED NATIONS/INTERNATIONAL LAW))
(5). END TEXT.

FOOTNOTES AND BRACKETS REPRESENT FOLLOWING: SWISS, WITH
SUPPORT OF VARIOUS WESTERN DELEGATIONS WOULD BE PREPARED
TO END THE FIRST PARAGRAPH AT (1), THUS AVOIDING THE
PROBLEM OF QUALIFYING "TREATIES AND AGREEMENTS". TURKS
WOULD INSERT "BINDING" AT (2), THUS QUALIFYING "OTHER
INTERNATIONAL AGREEMENTS" BUT IMPLYING, WITH A CERTAIN
LOGIC, THAT ALL TREATIES ARE BINDING. THIS IS SUPPORTED
BY USSR, WHICH INSISTS ON SEPARATE MENTION OF "TREATIES",
NOT JUST "INTERNATIONAL AGREEMENTS" AND WILL ACCEPT
NO MEANINGFUL QUALIFICATION OF FORMER. THE YUGOSLAVS
WOULD END THE TEXT AT (3), ADDING THE WORDS "OTHER AGREE-
MENTS GOVERNED BY INTERNATIONAL LAW". VARIOUS DELEGATIONS
FAVOR "VALID UNDER" AT (4), INSTEAD OF "IN CON-
FORMITY WITH", FOLLOWED BY THE REMAINDER OF THE FRIENDLY
RELATIONS FORMULATION. FOOTNOTE (5) REPRESENTS THE
GENERAL WESTERN VIEW THAT THE WORDS "TO WHICH THEY ARE
PARTIES" SHOULD FOLLOW, NOT PRECEDE, A QUALIFICATION
BEGINNING "IN CONFORMITY WITH" OR "UNDER", SO THAT
THE QUALIFICATION REFERS TO THE AGREEMENT AS A WHOLE, NOT
JUST THE MEANS OF BECOMING PARTY. THE COMMAS (SHOWN
IN UNNUMBERED PARENTHESES) AROUND THE PHRASE "TO WHICH
THEY ARE PARTIES" ARE ANOTHER DEVICE PROPOSED TO ACCOMP-
LISH THE SAME PURPOSE. THE BRACKETS AT THE END REPRESENT,
FIRST, THE GENERAL WESTERN PREFERENCE FOR "THE GENERALLY
RECOGNIZED PRINCIPLES AND RULES OF INTERNATIONAL LAW"
SECOND, THE SOVIET PREFERENCE FOR "THE CHARTER OF THE
UNITED NATIONS; AND, THIRD, THE ROMANIAN PROPOSAL FOR
"INTERNATIONAL LAW".

3. SOVIETS HAVE ALSO PUT FORTH FOLLOWING ALTERNATIVE
SOLUTION:
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BEGIN TEXT: THE PARTICIPATING STATES WILL FULFILL IN
GOOD FAITH THEIR OBLIGATIONS UNDER INTERNATIONAL LAW,
BOTH THOSE OBLIGATIONS UNDER INTERNATIONAL LAW ARISING
FROM THE GENERALLY RECOGNIZED PRINCIPLES AND RULES OF
INTERNATIONAL LAW AND THOSE OBLIGATIONS UNDER INTER-
NATIONAL LAW ARISING FROM TREATIES OR OTHER INTERNATIONAL
AGREEMENTS TO WHICH THEY ARE PARTIES. END TEXT.

4. ALONG WITH MOST OF NATO GROUP, WE BELIEVE THAT TEXT WITHOUT ANY OF THESE QUALIFICATIONS WOULD BE ACCEPTABLE IN LIGHT OF FIRST QUALIFYING PHRASE "OBLIGATIONS UNDER INTERNATIONAL LAW". WE COULD ALSO EASILY ACCEPT ANY OF THE OPTIONS IN PLAY, EXCEPT TURKISH SUGGESTION OF INSERTION OF WORD "BINDING" TO QUALIFY ONLY "OTHER INTERNATIONAL AGREEMENTS", OR FORMULATIONS WHICH WOULD INCLUDE A QUALIFICATION BUT APPLY IT ONLY TO THE MEANS OF BECOMING BOUND BY AN AGREEMENT. WE NOTE THAT PHRASE "TO WHICH THEY ARE PARTY" DOES NOT COVER GERMAN OBLIGATIONS UNDER FOUR POWER AGREEMENTS, BUT NEITHER DOES IT PREJUDICE THEM EXCEPT BY OMISSION, WHICH QRR TEXT SHOULD ADEQUATELY CURE.

5. UNLESS DEPARTMENT WISHES TO PROVIDE OTHER GUIDANCE, WE WILL CONTINUE GENERALLY TO SUPPORT EFFORTS OF MOST NATO AND NEUTRAL DELS FOR SOME ACCEPTABLE QUALIFICATION ALONG THE LINES OF THE ALTERNATIVES DESCRIBED, EXCEPT THOSE REJECTED IN PARA FOUR ABOVE, BUT WILL AGREE TO A TEXT WITH NONE IF A TREND TOWARD SUCH A CONSENSUS EMERGES.
ABRAMS

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